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QUESTION 1

A bond which pays no interest during its lifetime and repays capital on its maturity date is known as:

- A. A zero interest bond
- B. A nil interest bond
- C. A zero coupon bond
- D. A zero interest note

Correct Answer: C

QUESTION 2

\\Sub-custodian\\ is a term that is applicable to:

- A. International central securities depositories
- B. Global custodians
- C. Local custodians
- D. National central securities depositories

Correct Answer: B

QUESTION 3

Within internal books and records, the recording of the trading book on a securities trade facilitates:

- A. Reconciliation of settled positions, per trading book and per security - between the trading department and operations
- B. Reconciliation of settled positions, per trading book and per security - between operations and the firm\\'s custodian
- C. Reconciliation of trading positions, per trading book and per security - between the firm\\'s counterparty and the firm\\'s custodian
- D. Reconciliation of trading positions, per trading book and per security - between the trading department and operations

Correct Answer: D

QUESTION 4

Bond types that are traded at a deeply discounted price are:

- A. Floating rate notes
- B. Zero coupon bonds
- C. Convertible bonds and Exchangeable bonds
- D. Fixed rate bonds

Correct Answer: B

QUESTION 5

A bond which permits the issuer to redeem the bond prior to its maturity date is known as a:

- A. Demandable bond
- B. Callable bond
- C. Requestable bond
- D. Askable bond

Correct Answer: B

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