

Securities Operations Foundation Qualification (SOFQ)

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QUESTION 1

The ISO currency code \\'CHF represents:

- A. Ivory Coast Franc
- B. Chinese Renminbi
- C. Swiss France
- D. Norwegian Krone

Correct Answer: C

QUESTION 2

The type of organisation that provides securities underwriting services is known as a

- A. Investment bank
- B. Insurance company
- C. Commercial bank
- D. Pension fund
- Correct Answer: A

QUESTION 3

- DTC the central securities depository for US equities is the abbreviation for:
- A. Depository Trust Company
- **B.** Depot Transaction
- C. Deposit and Trade Conglomerate
- D. Depot for Trades Corporation

Correct Answer: A

QUESTION 4

The market in which debt is issued over the long-term describes:

- A. The money market
- B. The foreign exchange market

- C. The capital market
- D. The equity market

Correct Answer: C

QUESTION 5

A \\'put\\' option on a bond allows:

- A. The stock exchange to force the bondholder to redeem the bonds prior to the bond\\'s scheduled maturity date
- B. The regulator to force the issuer to redeem the bonds prior to the bond\\'s scheduled maturity date
- C. The bondholder to redeem their bonds prior to the bond\\'s scheduled maturity date
- D. The bond issuer to force redemption of bonds prior to the bond\\'s scheduled maturity date

Correct Answer: C

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